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CENTRAL FAX CENTERTRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission: 8

Application Number 09/984,390

OCT 12 2006

Filing Date September 28, 2001

First Named Inventor Larry D. Woodring

Art Unit 2642

Examiner Name Q. H. Nguyen

Attorney Docket Number BS01379

## ENCLOSURES

(Check all that apply)

☒ Fee Transmittal Form☒ Fee Attached☐ Amendment/Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation  
Change of correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals  
and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

1) Cited Reference

Remarks:

1) WO 03/090432 A1

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT


Name (Print/Type)

Bambi Faivre Walters

Reg. No.:

45,197

Signature



Date

10/12/06

## CERTIFICATE OF TRANSMISSION / MAILING

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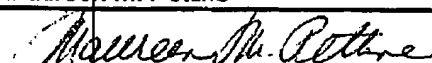
Name (Print/Type)

Maureen M. Pettine


Date

10/12/2006

Signature



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<h1 style="margin: 0;">FEE TRANSMITTAL</h1> <h2 style="margin: 0;">for FY 2005</h2> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td>Application Number</td> <td>09/964,390</td> <td rowspan="5" style="text-align: center; vertical-align: middle;"> <b>RECEIVED</b>  <b>CENTRAL FAX CENTER</b>    <b>OCT 12 2006</b> </td> </tr> <tr> <td>Filing Date</td> <td>September 28, 2001</td> </tr> <tr> <td>First Named Inventor</td> <td>Larry D. Woodring</td> </tr> <tr> <td>Examiner Name</td> <td>Q. H. Nguyen</td> </tr> <tr> <td>Art Unit</td> <td>2642</td> </tr> <tr> <td>Attorney Docket No.</td> <td>BLS01379</td> <td></td> </tr> </table>		Application Number	09/964,390	<b>RECEIVED</b> <b>CENTRAL FAX CENTER</b>  <b>OCT 12 2006</b>	Filing Date	September 28, 2001	First Named Inventor	Larry D. Woodring	Examiner Name	Q. H. Nguyen	Art Unit	2642	Attorney Docket No.	BLS01379																																															
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TOTAL AMOUNT OF PAYMENT		\$180.00																																																													
<b>METHOD OF PAYMENT (check all that apply)</b> <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other <input type="checkbox"/> Deposit Account      Deposit Account No. 19-2167      Deposit Account Name:																																																															
<b>The Director is authorized to: (check all that apply)</b> <input checked="" type="checkbox"/> Charge fee(s) indicated below <input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <div style="text-align: right;"><input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee  <input checked="" type="checkbox"/> Credit any overpayments</div>																																																															
<b>FEE CALCULATION</b>																																																															
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<b>SUBMITTED BY:</b>																																																															
Name (Print/Type)		Complete (if applicable)																																																													
Berni F. Walters		Registration No.	Telephone:																																																												
		43,197	(757) 253-5728																																																												
Signature		Date																																																													
		10/12/06																																																													

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# FEE TRANSMITTAL

## for FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

Application Number	09/984,390
Filing Date	September 28, 2001
First Named Inventor	Larry D. Woodring
Examiner Name	Q. H. Nguyen
Art Unit	2642
Attorney Docket No.	BLS01379

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**METHOD OF PAYMENT (check all that apply)**
☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other  
☐ Deposit Account Deposit Account No. 19-2167

Deposit Account Name:

**The Director is authorized to: (check all that apply)**
☒ Charge fee(s) indicated below  
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17

☐ Charge fee(s) indicated below, except for the filing fee  
☒ Credit any overpayments

**FEE CALCULATION**
**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**
**FILING FEES**

Application Type	Fee (\$)	Small Entity Fee (\$)
Utility	300	150
Design	200	100
Plant	200	100
Reissue	300	150
Provisional	200	100

**SEARCH FEES**

Fee (\$)	Small Entity Fee (\$)
500	250
100	50
300	150
500	250
0	0

**EXAMINATION FEES**

Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)
200	100	
130	65	
160	80	
600	300	
0	0	

**2. EXCESS CLAIM FEES**
**Fee Description**

Each claim over 20 (including Reissues)

Each independent claim over 3 (including Reissues)

Multiple dependent claims

Total Claims Extra Claims

- 20 or HP =

Fee (\$)

Fee Paid (\$)

Fee (\$)

Small Entity Fee (\$)

50

25

200

100

360

180

Multiple Dependent Claims

Fee (\$)

Fee Paid (\$)

HP=highest number of independent claims paid for, if greater than 3.

Indep. Claims

- 3 or HP =

Extra Claims

Fee (\$)

Fee Paid (\$)

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**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250.00 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(e).

Total Sheets Extra Sheets

- 100 =

150

(round up) x

Fee (\$)

Fee Paid (\$)

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge):

Supplemental IDS Fee

Fee Paid (\$)

180.00

**SUBMITTED BY:**
**Complete (if applicable)**

Name (Print/Type)	Bambi F. Walters	Registration No. (Attorney/Agent)	45,197	Telephone:	(757) 253-5729
Signature	<i>Bambi F. Walters</i>		Date	10/12/06	

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**In re application of:** Larry D. Woodring      **Group Art Unit:** 2642  
**Application No.:** 09/964,390      **Examiner:** Q. H. Nguyen  
**Filed:** September 28, 2001      **Docket No.:** BLS01379  
**Title:** "Systems and Methods for Providing User Profile Information in  
Conjunction with an Enhanced Caller Information System"

**VIA FACSIMILE 571-273-8300**

Attn: Examiner Nguyen

**37 C.F.R. § 1.8 CERTIFICATE OF TRANSMISSION**

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Maureen M. Pettine

Name of Person Faxing This Paper

Maureen M. Pettine

Signature

October 12, 2006

Date of Transmission

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR §§1.56, 1.97, and 1.98, the attention of the Patent and Trademark  
Office is hereby directed to the references listed on the attached Form PTO 1449 (page 1).

This Information Disclosure Statement is being submitted after the mailing of a first  
Office Action in this application and therefore, a certification fee is believed to be required  
(37 CFR § 1.97(b)(3)).

10/13/2006 MBINAS 00000011 09964390

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180.00 OP

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It is respectfully requested that the references listed on the attached forms be expressly considered by the Examiner and be made of record in the application and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,



Bambi F. Walters  
Attorney for Applicants  
Registration No. 45,197  
P. O. Box 5743  
Williamsburg, VA 23188  
Telephone: 757.253.5729

Date: 10/12/06

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PTO/SB/08A (07/08)

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INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

## Complete If Known

Application Number	09/964,390
Filing Date	September 28, 2001
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U.S. PATENT DOCUMENTS					
Examiner Initials *	Case No. <sup>1</sup>	Document Number Number - Kind Code	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,200,994	04/1993	Sasano, et al.	
		5,278,894	01/1994	Shaw, I-Nuoh R.	
		5,333,152	07/1994	Wilber, James G.	
		5,481,594	01/1996	Shen, et al.	
		5,497,414	03/1996	Bartholomew, Dale L.	
		5,546,447	08/1996	Skarbo, et al.	
		5,631,950	05/1997	Brown, Edwin Z.	
		5,657,372	08/1997	Ahlberg, et al.	
		5,724,412	03/1998	Srinivasan, Thiru	
		6,137,870	10/2000	Scherer, Gordon F.	
		6,192,116	02/2001	Mayak, Barbara	
		6,226,369	05/2001	Lim, et al.	
		6,278,862	08/2001	Henderson, Daniel A.	
		6,292,479	09/2001	Bartholomew, et al.	
		6,400,809	06/2002	Bossemeyer, et al.	
		6,404,868	06/2002	Beamish, et al.	
		6,438,216	08/2002	Aktas, Christoph	
		6,466,653	10/2002	Hamrick, et al.	
		6,542,586	04/2003	Helstab, Edmond J.	
		6,549,621	04/2003	Christie, et al.	
		6,618,474	09/2003	Reese, Morris	
		6,687,341	02/2004	Koch, et al.	
		6,853,710	02/2005	Harris, Timothy M.	
		6,931,007	08/2005	Jones, Clifton T.	
		6,952,469	10/2005	Han, Sang Hyun	
		6,977,993	12/2005	Starbuck, et al.	
		2002/0067816	06/2002	Bushnell, William J.	
		2003/0021290	01/2003	Jones, Clifton T.	
		2003/0053602	03/2003	Stuckman, et al.	
		2003/0112938	06/2003	Kanakubo, et al.	
		2003/0219107	11/2003	Richardson, et al.	
		2004/0049545	03/2004	Lockridge, et al.	

Examiner Signature		Date Considered	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.87 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1460, Alexandria, VA 22313-1460.

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(use as many sheets as necessary)

Sheet 2 of 2

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## FOREIGN PATENT DOCUMENTS

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		Country Code <sup>2</sup>	Number <sup>3</sup>	Kind Code <sup>5</sup>				
			WO 03/090432	A1	10/2003	Aldrich, James		

Examiner Signature		Date Considered	
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